



09/718,894

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Patent No.: 6,786,335

5 No 09,718894

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

By: _____ Date: November 24, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee : Helmut Werdinig, et al.
Patent No. : 6,786,335
Issued : September 7, 2004
Title : Pyrolysis Plant for Refuse and Method for Screening
Solid Residues
Docket No. : GR98P8561
Customer No. : 24131

Attention: Commissioner of Patent and Trademarks
Mail Stop: Petitions
Alexandria, VA 22313

PETITION UNDER 37 C.F.R. 1.183

1. Applicant respectfully petitions that the requirements of 37 C.F.R. 3.81 be waived under 37 C.F.R. 1.183 to allow the correction of the Assignee.

- A. Patentees submit a petition fee set forth in 37 C.F.R. 1.183 in the amount of \$130.00;
- B. Patentees request that 37 C.F.R. 3.81(a) be waived to permit the correct name of the assignee to be provided;
- C. Patentees failed to include the correct assignee name on the PTOL-85(b) form;
- D. Patentees herewith submit a copy of the Assignment Document and returned postcard as proof of the date the assignment was submitted for recordation in the U.S. Patent Office.

Patentees hereby request that the file be forwarded to the Certificates of Correction Branch, for issuance of a Certificate of Correction, if the Petition is granted.

12/01/2004 WABDELRI 00000117 121099 09718894

01 FC:1462 270.00 DA 130.00 OP

(Petition to Correct Assignee on Letters Patent 37 CFR 1.183-page 1 of 2)

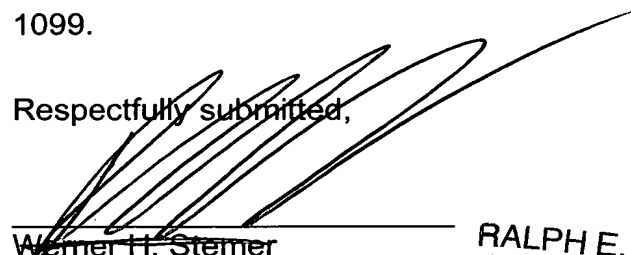
12/01/2004 WABDELRI 00000075 09718894
01-FC-1464
130.00 OP

2. The petition fee (37 CFR 1.117(h)) of \$130.00 is paid as follows:

Credit card as shown on the attached credit card information authorization form PTO-2038.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above to our deposit account number 12-1099.

Respectfully submitted,



Werner H. Steiner
Reg. No. 34,956

RALPH E. LOCHER
REG. NO. 41,947

Date: November 24, 2004

Lerner and Greenberg, P.A.
Post Office Box 2480
Hollywood, FL 33022-2480
Tel: (954) 925-1100
Fax: (954) 925-1101
/av

RECORDATION FORM COVER SHEET
PATENTS ONLYNOV 2 9 40 AM '04
OMB No. 0651-0011 (exp. 4/94)
Tab settings ==>>>

To the Honorable Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party (ies):

- 1) Georg Gropper
- 2) Reinhold Riggermann
- 3) Winfried Von Rhein
- 4) Helmut Werdinig

(Additional name(s) of conveying party (ies) attached) Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: December 15, 2000 for 1,
December 18, 2000 for 2, November 28, 2000
for 3, November 23, 2000 for 4.

2. Name and address of receiving party (ies):

Name: Siemens AktiengesellschaftInternal Address: ZT GG VMStreet Address: Postfach 22 16 34City: MünchenState: GermanyZIP: 80506Additional name(s) & address (es) attached ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/718,894

B. Patent No.(s)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lerner and GreenbergStreet Address: P.O. Box 2480City: HollywoodState: FLZIP: 33022

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.4.....) \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

RALPH E. LOCHER
REG. NO. 41,947

Name of Person Signing

Signature

July 23, 2004

Date

2

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No.: GR 98 P 8561

ASSIGNMENT

WHEREAS WE, GEORG GROPPER, REINHOLD RIGGENMANN, WINFRIED VON RHEIN and HELMUT WERDINIG, residing respectively at WEISSENHORN, WEISSENHORN, FREIGERICHT and NÜRNBERG, Germany, have invented certain new and useful improvements in

PYROLYSIS PLANT FOR REFUSE
AND METHOD FOR SCREENING SOLID RESIDUES

for which an application for Letters Patent of the United States of America was executed on the date of execution of this assignment;

WHEREAS, SIEMENS AKTIENGESELLSCHAFT, a German corporation doing business at München, Germany, is desirous of acquiring the entire interest in said invention, and in any and all Letters Patent of the United States that may be obtained therefor;

NOW, THEREFORE, be it known that for and in consideration of our employment by the said corporation and other valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said corporation, its legal representatives, successors and assigns, the full and exclusive right to the said invention as fully set forth and described in the above-mentioned application, and to said application and any and all divisions and continuations thereof, and any and all Letters Patent of the United States which may be granted therefor, and any and all reissues of said Letters Patent, the same to be held and enjoyed by the said corporation, its legal representatives, successors and assigns, to the full end of the term for which said Letters Patent may be granted or may be reissued or extended, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

AND WE hereby authorize the Commissioner of Patents to issue any and all Letters Patent of the United States on said invention or resulting from said Application and from any and all divisions and continuations thereof to the said corporation as the assignee of the entire right, title and interest in and to the same.

IN TESTIMONY WHEREOF, we have hereunto set our hands and seals.

In the presence of:

H. Riggenmann
H. Werdinig

Georg Gropper (LS)
GEORG GROPPER

Date: 15/12/00

Reinhold Riggemann (LS)
REINHOLD RIGGENMANN

Date: 18/12/00

Winfried von Rhein

Winfried von Rhein (LS)
WINFRIED VON RHEIN

Date: 28/12/00

Helmut Werdinig

Helmut Werdinig (LS)
HELMUT WERDINIG

Date: 23/12/00

Eckhard Schlegel

DOCKET NO.

616988561

Mailed

July 23, 2004

P.L.C. NO. 091718, 894

Express Mail

stamp of the Patent Office hereon may be considered the date on which papers indicated below were received.

- Applic pgs. . . . Rule 53b New ☐ Contin ☐ Div ☐ CIP ☐ / Rule 53c Prov. ☐ / Rule 53d CPA. . . . RCE ☐
☐ CIP . . . pgs ☐ Design ☐ . . . Dwgs ☐ Declaration ☐ Mailing Certif.
☐ Priority Claim ☐ Cert. Prior. Doc(s) ☐ PCT Cover Sheet WO
☐ Amend pgs. . . . ☐ Prel. Amend pgs. . . . ☐ Letter
☐ Response pgs. . . . ☐ 37CFR1.116 ☐ Not. of Appeal
☐ Brief pgs. . . . ☐ Appndx pgs. . . . ☐ I.D.S. + Refs. ☐
☐ Assoc Pwr of Atty ☒ Credit Card \$40.00
☐ Pet. for Ext. . . . Mo. ☐ Pet. . . . ☐ Check \$. . .
☐ Issue Fee ☒ Assignment ☒ PTO/515
☐ Cert. Trans. ☐ Cert. of Corr. ☐ File rec. corr

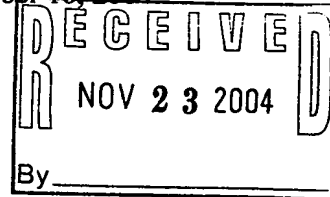
(Patent Office. Please stamp and return to addressee on reverse side.)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. Box 1450
ALEXANDRIA, VA 22313-1450
www.uspto.gov

November 16, 2004



Ralph E. Locher
LERNER AND GREENBERG, P.A.
P. O. Box 2480
Hollywood FL 33022-2480

Patent No.: 6786335 B1

Inventor: Georg Gropper, et al.

Issued: September 7, 2004

Title: **PYROLYSIS PLANT FOR REFUSE AND METHOD FOR SCREENING SOLID RESIDUES**

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and or 1.323.

Assignees' names and addresses (assignment data) printed in a patent, is based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B. Granting of a petition, under 37 CFR 1.183, requesting the requirements of 37 CFR 3.81 to be waived, is required to correct applicant's error providing incorrect or erroneous assignment data, *before* issuance of a Certificate of Correction, under 37 CFR 1.323 (*see Manual of Patent Examining Procedures (M.P.E.P) Chp.1400, sect. 1481*). This procedure is required *at any time after the issue fee is paid*, including after issuance of the patent.

In view of the foregoing, your request, in this matter, is hereby denied.

A petition to correct the Assignee under 37 CFR 1.183 should include:

- A. the petition fee set forth in 37 CFR 1.117(h) (currently \$130);
- B. a request that 37 CFR 3.81(a) be waived to permit the correct name of the assignee to be provided;

- C. a statement that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and
- D. a copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment(s) is recorded and/or reflecting proof of *the date* the assignment was submitted for recordation.

In the Petition, Applicant(s) may request that the file is forwarded to Certificates of Correction Branch, for issuance of a Certificates of Correction, if the Petition is granted.

Any petition under 37 CFR 1.183 should be directed to the attention of the Assistant Commissioner for Patents, using the following mailing address or FAX number.

By Mail: Commissioner of Patents and Trademarks
 Mail Stop: Petitions
 Alexandria, VA 22313

By Fax: (703) 308-6916
 Attn.: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

Virginia Tolbert
For Cecelia Newman
Decisions & Certificates
of Correction Branch
(703) 308-8309 or (703) 305-1882

vt